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2859
AB
PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/297,289
		Filing Date	April 28, 1999
		First Named Inventor	Masatoshi MOTEKI, et al.
		Group Art Unit	2859
		Examiner Name	B. Roskoski
Total Number of Pages in This Submission		Attorney Docket Number	551512/0058

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		
IDS includes PTO-1449 and one reference.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Lawrence Rosenthal, Reg. No. 24,377 Stroock & Stroock & Lavan LLP
Signature	
Date	October 30, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: October 30, 2001

Typed or printed name	David L. Schaeffer
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Date	October 30, 2001

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PTO/SB/17 (11-00)
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1784.00)

Complete if Known	
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First Named Inventor	Masatoshi MOTEKI, et al.
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METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																	
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number 19-4709</p> <p>Deposit Account Name Stroock & Stroock & Lavan LLP</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Fee Code (\$)</td> <td>Fee Code (\$)</td> <td>Fee Code (\$)</td> <td></td> </tr> <tr> <td>105 130</td> <td>205</td> <td>65</td> <td>Surcharge - late filing fee or oath</td> </tr> <tr> <td>127 50</td> <td>227</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> </tr> <tr> <td>139 130</td> <td>139</td> <td>130</td> <td>Non-English specification</td> </tr> <tr> <td>147 2,520</td> <td>147- 2,520</td> <td></td> <td>For filing a request for ex parte reexamination</td> </tr> <tr> <td>112 920*</td> <td>112 920*</td> <td></td> <td>Requesting publication of SIR prior to Examiner action</td> </tr> <tr> <td>113 1,840*</td> <td>113 1,840*</td> <td></td> <td>Requesting publication of SIR after Examiner action</td> </tr> <tr> <td>115 110</td> <td>215</td> <td>55</td> <td>Extension for reply within first month</td> </tr> <tr> <td>116 390</td> <td>216</td> <td>195</td> <td>Extension for reply within second month</td> </tr> <tr> <td>117 890</td> <td>217</td> <td>445</td> <td>Extension for reply within third month</td> </tr> <tr> <td>118 1,390</td> <td>218</td> <td>695</td> <td>Extension for reply within fourth month</td> </tr> <tr> <td>128 1,890</td> <td>228</td> <td>945</td> <td>Extension for reply within fifth month</td> </tr> <tr> <td>119 310</td> <td>219</td> <td>155</td> <td>Notice of Appeal</td> </tr> <tr> <td>120 310</td> <td>220</td> <td>155</td> <td>Filing a brief in support of an appeal</td> </tr> <tr> <td>121 270</td> <td>221</td> <td>135</td> <td>Request for oral hearing</td> </tr> <tr> <td>138 1,510</td> <td>138 1,510</td> <td></td> <td>Petition to institute a public use proceeding</td> </tr> <tr> <td>140 110</td> <td>240</td> <td>55</td> <td>Petition to revive - unavoidable</td> </tr> <tr> <td>141 1,240</td> <td>241</td> <td>620</td> <td>Petition to revive - unintentional</td> </tr> <tr> <td>142 1,240</td> <td>242</td> <td>620</td> <td>Utility issue fee (or reissue)</td> </tr> <tr> <td>143 440</td> <td>243</td> <td>220</td> <td>Design issue fee</td> </tr> <tr> <td>144 600</td> <td>244</td> <td>300</td> <td>Plant issue fee</td> </tr> <tr> <td>122 130</td> <td>122</td> <td>130</td> <td>Petitions to the Commissioner</td> </tr> <tr> <td>123 50</td> <td>123</td> <td>50</td> <td>Processing fee under 37 CFR 1.17(q)</td> </tr> <tr> <td>126 180</td> <td>126</td> <td>180</td> <td>Submission of Information Disclosure Stmt</td> </tr> <tr> <td>581 40</td> <td>581</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> </tr> <tr> <td>146 710</td> <td>246</td> <td>355</td> <td>Filing a submission after final rejection (37 CFR § 1.129(a))</td> </tr> <tr> <td>149 710</td> <td>249</td> <td>355</td> <td>For each additional invention to be examined (37 CFR § 1.129(b))</td> </tr> <tr> <td>179 710</td> <td>279</td> <td>355</td> <td>Request for Continued Examination (RCE)</td> </tr> <tr> <td>169 900</td> <td>169</td> <td>900</td> <td>Request for expedited examination of a design application</td> </tr> <tr> <td colspan="4">Other fee (specify) _____</td> </tr> <tr> <td colspan="2">*or number previously paid, if greater; 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*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 1100.00)

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Lawrence Rosenthal	Registration No. (Attorney/Agent)	24,377
Signature	<i>Lawrence</i>	Telephone	(212) 806-5400
		Date	October 30, 2001

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